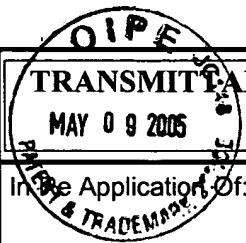


JPW



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
27267-A-USA

In Re Application Of: **WALLACE, Andrew; MARTIN, Philip J.; FARNABY, Alan D.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/721,684	25 November 2003	Cam N. NGUYEN		1754	

Title: **A MULTI-COMPONENT CATALYST SYSTEM FOR THE POLYCONDENSATION MANUFACTURE OF POLYESTERS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
27267-A-USA

In Re Application: WALLACE, Andrew; MARTIN, Philip J.; FARNABY, Alan D.

Application No. 10/721,684	Filing Date 25 November 2003	Examiner Cam N. NGUYEN	Customer No.	Group Art Unit 1754	Confirmation No.
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Title: **A MULTI-COMPONENT CATALYST SYSTEM FOR THE POLYCONDENSATION  
MANUFACTURE OF  
POLYESTERS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3040 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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- WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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*Sarah Klosek*  
Signature

Dated: May 5, 2005

Sarah Klosek, Esq.  
Synnestvedt Lechner & Woodbridge LLP  
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Princeton, NJ 08542  
609-924-3773 phone  
609-924-1811 fax

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Inventor(s): WALLACE, Andrew; MARTIN, Philip J.; FARNABY, Alan D.  
Title: A MULTI-COMPONENT CATALYST SYSTEM FOR THE  
POLYCONDENSATION MANUFACTURE OF POLYESTERS  
Serial No.: 10/721684  
Filed: 25 November 2003  
Examiner: Cam N. NGUYEN Art Unit: 1754  
Date: 15 February 2005

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Supplemental Disclosure Statement Pursuant to 37 C.F.R. §1.56**

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicant(s) and/or their agents and is being submitted in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with 37 C.F.R. §1.98, transmitted herewith are:

1. A completed copy of Form PTO/SB/08 "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08, except for copies of U.S. patents and published U.S. patent applications which are not required for applications filed after 30 June 2003.

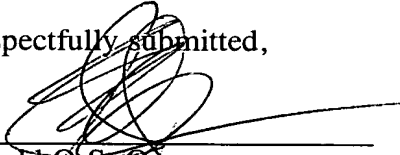
As this application was filed after 30 June 2003, copies of the U.S. patents and published U.S. patent applications listed on the enclosed Form PTO/SB/08 are not required and, therefore, not included herewith.

37 CFR §1.97(e) Certification

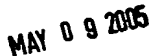
I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in any communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

By:

  
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Registration No. 27,280  
tel: 604.669.3432 ext. 8935  
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e-mail: goyen@patentable.com

Vancouver, B.C.  
CANADA



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*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/721,684
Filing Date	25 November 2003
First Named Inventor	Andrew WALLACE
Art Unit	1754
Examiner Name	Cam N. NGUYEN
Attorney Docket Number	T338 0012

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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